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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	4303-032029
First Inventor	Jun Tan
Title	METHODS AND COMPOUNDS FOR DISRUPTION OF CD40R/CD40L SIGNALING IN THE TREATMENT OF ALZHEIMER'S DISEASE
Express Mail Label No.	EL 970269814US

APPLICATION ELEMENTS <i>See MPEP chapter 600 concerning utility patent application contents.</i>		ADDRESS TO: Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450	
<p>1. <input type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original and a duplicate for fee processing)</i></p> <p>2. <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages <input type="text" value="14"/>] <i>(preferred arrangement set forth below)</i> <ul style="list-style-type: none"> - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings <i>(if filed)</i> - Detailed Description - Claim(s) - Abstract of the Disclosure </p> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets <input type="text" value="14"/>]</p> <p>5. Oath or Declaration [Total Pages <input type="text" value="1"/>] <ul style="list-style-type: none"> a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 18 completed)</i> <ul style="list-style-type: none"> i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). </p> <p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p>			
ACCOMPANYING APPLICATION PARTS			
<p>9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))</p> <p>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney <i>(when there is an assignee)</i></p> <p>11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i></p> <p>12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>13. <input type="checkbox"/> Preliminary Amendment</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i></p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i></p> <p>16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.</p> <p>17. <input checked="" type="checkbox"/> Other: <u>Letter Recognizing Attorneys (2 pp.)</u></p>			

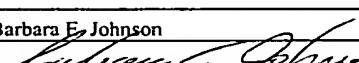
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP) of prior application No: _____

Prior application information: Examiner _____ Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number:	28289 <i>(Insert Customer No. or Attach bar code label here)</i>		<input type="checkbox"/> OR <input type="checkbox"/> Correspondence address below	
Name	Barbara E. Johnson Webb Ziesenhein Logsdon Orkin & Hanson, P.C.			
Address	700 Koppers Building 436 Seventh Avenue			
City	Pittsburgh	State	PA	
Country	United States	Telephone	412-471-8815	
Name (Print/Type)	Barbara E. Johnson		Registration No. (Attorney/Agent)	31,198
Signature			Date	October 27, 2003

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application forms to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you are required to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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17510 USPTO
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The PTO did not receive the following
listed item(s) 4 pages checked

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

ATTORNEY'S DOCKET NUMBER

Jun Tan

4303-032029

ENTITLED

METHODS AND COMPOUNDS FOR DISRUPTION OF CD40R/CD40L SIGNALING IN
THE TREATMENT OF ALZHEIMER'S DISEASE

Mail Stop Patent Application
P.O. Box 1450
Alexandria, VA 22313-1450

EXPRESS MAIL CERTIFICATE

"Express Mail" Label Number EL 970269814 US

Date of Deposit October 27, 2003

I hereby certify that the following enclosed papers or fees:

Letter Recognizing Attorneys (2 pp.)
Utility Patent Application Transmittal Letter (original and two (2) copies);
Specification (43 pp.), Claims (18 pp.) and Abstract (1 p.); and
Sheets of drawings (14 pp.)

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Helen Gerace
(Typed name of person mailing paper or fee)


(Signature of person mailing paper or fee)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No. : Not Yet Assigned
Applicants : Jun Tan, Terrence C. Town, and Michael Mullan
Filed : Filed Concurrently Here
Title : METHODS AND COMPOUNDS FOR DISRUPTION OF CD40R/CD40L SIGNALING IN THE TREATMENT OF ALZHEIMER'S DISEASE

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

LETTER RECOGNIZING ATTORNEYS

Sir:

Enclosed for filing is an informal application for patent as above identified, comprising a specification, claims, abstract and drawings.

Information regarding the inventors and the application is as follows:

1. Inventors:

Jun Tan
Bradenton, Florida 34243;

Terrence C. Town
Bradenton, Florida 34243; and

Michael Mullan
Bradenton, Florida 34243.

2. Citizenship:

Jun Tan, Chinese citizen;

Terrence C. Town, United States of America citizen; and

Michael Mullan, United Kingdom citizen.

3. Title: METHODS AND COMPOUNDS FOR DISRUPTION OF CD40R/CD40L SIGNALING IN THE TREATMENT OF ALZHEIMER'S DISEASE
4. Priority: United States Provisional Application Serial Number 60/421,338, filed October 25, 2002.
5. Drawings: 14 sheets of drawings containing 61 figures
6. Claims: 92 claims
7. Small Entity: Small Entity Status is asserted for this application under 37 CFR 1.27.

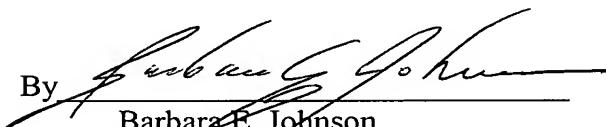
Please accept the application for purposes of granting a filing date pursuant to 37 C.F.R. §1.53(b) and recognize Barbara E. Johnson, Registration No. 31,198; Russell D. Orkin, Registration No. 25,363; Gwen R. Wood, Registration No. 51,027; and Patricia A. Olsky, Registration No. 53,411 as attorneys in this application, pending the filing of a formal Declaration and Power of Attorney and payment of the filing fee. 37 C.F.R. §1.53(b).

Kindly direct all communications relating to this application to Russell D. Orkin.

Respectfully submitted,

WEBB ZIESENHEIM LOGSDON
ORKIN & HANSON, P.C.

By



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